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## **UTILITY PATENT APPLICATION TRANSMITTAL** (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 540.91195CP5

Total Pages in this Submission 88

### TO THE COMMISSIONER FOR PATENTS

**Mail Stop Patent Application** P.O. Box 1450 Alexandria, VA 22313-1450

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Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for any

invent	ion e	ntitled	d:						2
, SI	USTA	INEI	RELEA	SE HETEROI	)ISP	ERSE HYDRO	GEL SYSTEMS	S-AMORPHOUS DRUGS	Ì
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and in	vente	d by:							
A	nand	R. B	AICHWA	L					
If a C	ONT	INUA	TION AP	PLICATION.	chec	k appropriate t	oox and supply	the requisite information:	
	Conti							of prior application No.:	09/879,296
Whic	h is a	:						_	
$\boxtimes$	Conti	nuat	ion 🗆	Divisional		Continuation	ı-in-part (CIP)	of prior application No.:	09/498,448
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						Application	on Elements		
1.	$\boxtimes$	Filin	g fee as	calculated and	trar	nsmitted as des	scribed below		
2.	×	Spe	cification	having		49	pages and ir	ncluding the following:	
	a.	×	Descript	ive Title of the	e Inve				
	b.						s (if applicable)		
	C.						, ,,	velopment (if applicable)	
	d.							Program Listing Appendix	
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	f.	_		mmary of the					
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	g.			•	e Dra	awings <i>(if filed)</i>			
	h.			Description					
	i.	$\boxtimes$	Claim(s)	as Classified	Belo	ow			
	j.	X	Abstract	of the Disclos	sure				

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 540.91195CP5

Total Pages in this Submission 88

		Application Elements (Continued)					
3.		Drawing(s) (when necessary as prescribed by 35 USC 113)					
	a.	☐ Formal Number of Sheets					
4	b.	☐ Informal Number of Sheets					
4.	X	Oath or Declaration					
	a.	☐ Newly executed (original or copy) ☐ Unexecuted					
	b.	☑ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)					
	C.	☑ With Power of Attorney ☐ Without Power of Attorney					
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).					
5.	X	Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
7.	$\boxtimes$	Application Data Sheet (See 37 CFR 1.76)					
8.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)					
	a.	. □ Computer Readable Form (CRF)					
	b.	☐ Specification Sequence Listing on:					
		i.   CD-ROM or CD-R (2 copies); or					
		ii. 🔲 Paper					
	C.	☐ Statement(s) Verifying Identical Paper and Computer Readable Copy					
		Accompanying Application Parts					
9.		Assignment Papers (cover sheet & document(s))					
10.		37 CFR 3.73(B) Statement (when there is an assignee)					
11.							
12.	$\boxtimes$						
13.	$\boxtimes$	· · · · · · · · · · · · · · · · · · ·					
14.	X						
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)					
16.	X	Certificate of Mailing					
		☐ First Class ☒ Express Mail (Specify Label No.): EV 319 074 343 US					

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Docket No. 540.91195CP5

Total Pages in this Submission 88

### **Accompanying Application Parts (Continued)**

17.	X	Additional Enclosures (please identify below):
÷.		Exhibit A, which contains the allowed claims of commonly assigned U.S. patent applications
40		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of

such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice

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#### **Fee Calculation and Transmittal**

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee **Total Claims** - 20 = 0 \$0.00 \$18.00 Х Indep. Claims - 3 = 0 \$86.00 \$0.00 Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$770.00 OTHER FEE (specify purpose) \$0.00 **TOTAL FILING FEE** \$770.00 ☐ A check in the amount of to cover the filing fee is enclosed. ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. ☐ Charge the amount of as filing fee. ☐ Credit any overpayment. ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Signature Dated: January 27, 2004 Leslye B. Davidson, Reg. No. 38,854 Davidson, Davids n & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, New York 10018 (212) 736-1940

CC:

### Certificate of Express Mailing under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to:

> Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on January 27, 2004.

Date

Herbert McLaughlin

Typed or printed name of person signing Certificate

Signature

EV 3190 74343US

("Express Mail" Mailing Label Number)

Note:

Each paper must have its own certificate of mailing by Express Mail, or this certificate must identify

each submitted paper.

Re.:

Docket No.: 540.91195CP5

Applicant(s): Anand R. BAICHWAL

Serial No.: To be Assigned

Invention: SUSTAINED RELEASE HETERODISPERSE HYDROGEL SYSTEMS-AMORPHOUS

**DRUGS** 

Filing Date: Herewith

Self Addressed Stamped Post Card;

Continuation Utility Patent Application (4 pages);

Application Data Sheet (2 pages);

Specification (49 pages);

Information Disclosure Statement (4 pages);

Exhibit A, which contains the allowed claims of commonly assigned U.S. patent applications (10 pages):

Form PTO-1449 (11 sheets);

Declaration and Power of Attorney (1 page); and

Preliminary Amendment (6 pages)

This collection of information is required by 37 CFR 1.10. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.